

Shareholding Pattern

NOVARTIS INDIA LIMITED

General Information

Scrip code	500672
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE234A01025
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Capital Restructuring
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-07-2026
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	29-07-2026
Shareholding pattern filed under	Regulation 31 (1) (c)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Searial No.	Particular	Yes / No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether Listed Entity has granted any ESOPs, which are outstanding?	No	No	No	No
5	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
6	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
7	Whether any shares held by promoters are encumbered under 'Pledge'?	No	No		
8	Whether any shares held by promoters are encumbered under 'Non-Disposal Undertaking'?	No	No		
9	Whether any shares held by promoters are encumbered, other than by way of Pledge or NDU, if any?	No	No		
10	Whether company has equity shares with differential voting rights?	No	No	No	No
11	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities(XA)	No. of Shares Underlying Outstanding Warrants (XB)	No. Of Outstanding ESOP Granted (XC)	No. of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)= (VII+X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII): (VII)+(X)As a % of (A+B+C2)	
								No of Voting (XIV) Rights									Total as a % of (A+B+C)
								Class eg:X	Class eg:Y	Total							
(A)	Promoter & Promoter Group	3	17450680			17450680	70.68%	17450680		17450680	70.68%				17450680	70.68%	
(B)	Public	36703	7240117			7240117	29.32%	7240117		7240117	29.32%				7240117	29.32%	
(C)	Non Promoter-Non Public																
(C1)	Shares underlying DRs																
(C2)	Shares held by Employee Trusts																
	Total	36706	24690797			24690797	100.00%	24690797		24690797	100.00%				24690797	100.00%	

Shareholding Pattern

Searial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No.of fully paid up equity shares held (IV)	No.Of Partly paid-up equity shares held (V)	No.Of shares underlying Depository Receipts (VI)	Total nos.shares held (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No.Of Shares Underlying Outstanding convertible securities (XA)	No.of Shares Underlying Outstanding Warrants (XB)	No.Of Outstanding ESOP Granted (XC)	No. Sha Under Outsta conve secur No. Warr: and E etc. (XA+XI)
											No of Voting (XIV) Rights							
											Class eg:X	Class eg:Y	Total					
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																	
(1)	Indian																	
(a)	Individuals/Hindu undivided Family				2	3512298			3512298	14.23%	3512298		3512298	14.23%				
	Chryscapital Fund X				1	2547189			2547189	10.32%	2547189		2547189	10.32%				
	Two Infinity Partners				1	965109			965109	3.91%	965109		965109	3.91%				
(b)	Central Government/ State Government(s)																	
(c)	Financial Institutions/ Banks																	
(d)	Any Other (specify)																	
	Sub-Total (A)(1)				2	3512298			3512298	14.23%	3512298		3512298	14.23%				
(2)	Foreign																	
(a)	Individuals (NonResident Individuals/ Foreign Individuals)																	
(b)	Government																	
(c)	Institutions																	
(d)	Foreign Portfolio Investor																	
(e)	Any Other (specify)				1	13938382			13938382	56.45%	13938382		13938382	56.45%				
	Waverise Investments Limited	Bodies Corporate			1	13938382			13938382	56.45%	13938382		13938382	56.45%				
	Sub-Total (A)(2)				1	13938382			13938382	56.45%	13938382		13938382	56.45%				
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				3	17450680			17450680	70.68%	17450680		17450680	70.68%				
B	Table III - Statement showing shareholding pattern of the Public shareholder																	
(1)	Institutions (Domestic)																	
(a)	Mutual Funds				7	178865			178865	0.72%	178865		178865	0.72%				
(b)	Venture Capital Funds				0	0			0	0.00%	0		0	0.00%				
(c)	Alternate Investment Funds				3	77839			77839	0.32%	77839		77839	0.32%				
(d)	Banks				12	2604			2604	0.01%	2604		2604	0.01%				
(e)	Insurance Companies				1	80441			80441	0.33%	80441		80441	0.33%				
(f)	Provident Funds/ Pension Funds																	
(g)	Asset reconstruction companies																	
(h)	Sovereign Wealth Funds																	
(i)	NBFCs registered with RBI				2	2955			2955	0.01%	2955		2955	0.01%				
(j)	Other Financial Institutions																	
(k)	Any Other (specify)																	
	Sub-Total (B)(1)				25	342704			342704	1.39%	342704		342704	1.39%				
(2)	Institutions (Foreign)																	
(a)	Foreign Direct Investment																	
(b)	Foreign Venture Capital Investors																	
(c)	Sovereign Wealth Funds																	
(d)	Foreign Portfolio Investors Category I				4	38234			38234	0.15%	38234		38234	0.15%				
(e)	Foreign Portfolio Investors Category II																	
(f)	Overseas Depositories (holding DRs) (balancing figure)																	

Searial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No.of fully paid up equity shares held (IV)	No.Of Partly paid-up equity shares held (V)	No.Of shares underlying Depository Receipts (VI)	Total nos.shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No.Of Shares Underlying Outstanding convertible securities (XA)	No.of Shares Underlying Outstanding Warrants (XB)	No.Of Outstanding ESOP Granted (XC)	No. Sha Under Outsta convey secur No. Warr. and E etc. (XA+XB+XC+XD)
											No of Voting (XIV) Rights							
											Class eg:X	Class eg:Y	Total					
(g)	Any Other (specify)																	
	Sub-Total (B)(2)				4	38234			38234	0.15%	38234		38234	0.15%				
(3)	Central Government / State Government(s)																	
(a)	Central Government / President of India																	
(b)	State Government / Governor																	
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter																	
	Sub-Total (B)(3)																	
(4)	Non-institutions																	
(a)	Associate companies / Subsidiaries																	
(b)	Directors and their relatives (excluding independent directors and nominee directors)																	
(c)	Key Managerial Personnel																	
(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)																	
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'																	
(f)	Investor Education and Protection Fund (IEPF)				1	174759			174759	0.71%	174759		174759	0.71%				
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs				34631	4755001			4755001	19.26%	4755001		4755001	19.26%				
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs				7	782199			782199	3.17%	782199		782199	3.17%				
(i)	Non Resident Indians (NRIs)				887	264747			264747	1.07%	264747		264747	1.07%				
(j)	Foreign Nationals				1	54			54	0.00%	54		54	0.00%				
(k)	Foreign Companies																	
(l)	Bodies Corporate				215	571934			571934	2.32%	571934		571934	2.32%				
	Atul Limited				1	374627			374627	1.52%	374627		374627	1.52%				
(m)	Any Other (specify)				932	310485			310485	1.26%	310485		310485	1.26%				
		Trusts	Category		3	1036			1036	0.00%	1036		1036	0.00%				
		Unclaimed or Suspense or Escrow Account	Category		1	125			125	0.00%	125		125	0.00%				
		LLP	Category		13	12004			12004	0.05%	12004		12004	0.05%				
		HUF	Category		890	280235			280235	1.13%	280235		280235	1.13%				
		Clearing Members	Category		25	17085			17085	0.07%	17085		17085	0.07%				
	Sub-Total (B)(4)				36674	6859179			6859179	27.78%	6859179		6859179	27.78%				
	(B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				36703	7240117			7240117	29.32%	7240117		7240117	29.32%				
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																	
(1)	Custodian/DR Holder - Name of																	

Searial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No.of fully paid up equity shares held (IV)	No.Of Partly paid-up equity shares held (V)	No.Of shares underlying Depository Receipts (VI)	Total nos.shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No.Of Shares Underlying Outstanding convertible securities (XA)	No.of Shares Underlying Outstanding Warrants (XB)	No.Of Outstanding ESOP Granted (XC)	No. Sha Under Outsta conve secur No. Warr: and E etc. (XA+Xi)	
											No of Voting (XIV) Rights							Total as a % of Total Voting rights
											Class eg:X	Class eg:Y	Total					
	DR Holders (If Available)																	
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021																	
	Total NonPromoter-Non Public Shareholding (C)= (C)(1)+(C)(2)																	
	Total (A+B+C2)				36706	24690797	0	0	24690797	100.00%	24690797	0	24690797	100.00%	0	0	0	0
	Total (A+B+C)				36706	24690797			24690797	100.00%	24690797		24690797	100.00%				
Disclosure of notes on shareholding pattern																		
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage																		
Disclosure of notes in case of public share holding is less than 25 percentage																		
Disclosure of notes on shareholding pattern for company remarks explanatory																		

Table VI - Statement showing foreign ownership limits

Particular	Approved limits(%)	Limits utilized(%)
As on shareholding date	74.00%	57.68%
As on the end of previous 1st quarter	74.00%	71.91%
As on the end of previous 2nd quarter	74.00%	71.92%
As on the end of previous 3rd quarter	74.00%	71.90%
As on the end of previous 4th quarter	74.00%	71.89%